

UNCLASSIFIED



MONTHLY PERFORMANCE EXCEPTION REPORT¹

JULY 2011

¹ This exception report is produced for the purpose of monitoring the running of Derbyshire Probation Trust in accordance with the Trust Contract.

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1. Executive Summary

The Trust have made a solid start to the performance year and a local model of the Probation Trust Rating System (PTRS) is presented in section 3 of this report, indicating level 3 performance for the YTD. The validated national PTRS for Q1 shows that the Trust achieved level 3 performance for this time period. It is worthy of note that the number of metrics has reduced from 42 in 2010/11 to 13 in 2011/12, with a much greater emphasis on quality, outcome and effectiveness measures. Alongside the PTRS are 13 Contract measures, which are more input and process focussed and will also be a focus of this report.

Section 2 identifies five Areas For Improvement (AFI's) in relation to the PTRS and Contract measures, the most notable being: OM20, Order or Licences Successfully completed, which is a so-called 'leading indicator' for reoffending and contributes 12% of the overall PTRS rating; and, OM21 Reduce Reoffending Rate, which is statistically significant at 9.73% higher than predicted and therefore automatically reduces our PTRS rating from level 4 to level 3. Further detail on these measures is provided in the body of the report.

An analysis of demand is presented in section 5 and the long term data clearly supports the effectiveness of our demand management strategy. There is some early evidence of an upward trend in pre release custody commencements, which we will need to continue to monitor closely.

Performance highlights for 2011/12 YTD include:

- Region-best performance for Accommodation at Termination (90.22%; regional average 87.20%);
- Region-best performance for Employment at Termination (54.68%; regional average 49.77%);
- 5.2% reduction in sentence commencements.

Richard Angrave, Performance & Information Manager
26th August 2011.

2. Summary of Performance – PTRS and Contract Measures

GREEN – The following indicators are currently being achieved year to date and have shown exceptionally good performance:

OM 17 (PTRS) Accommodation at Termination:

| Target | Monthly Actual | YTD Actual | Regional YTD | 10/11 outturn | 09/10 outturn |
|--------|----------------|------------|--------------|---------------|---------------|
| 80% | 90.00% | 90.22% | 87.20% | 89.46% | 87.99% |

Exceptional performance for the YTD with 90.22% of offenders in accommodation at termination, indicating an improvement on the previous two years and above regional average performance. Ensuring that a good quality, i.e. all sections are thoroughly reviewed, and timely final review is completed is inherently linked to the success of this measure.

INT 09 (PTRS) Employment at Termination:

| Target | Monthly Actual | YTD Actual | Regional YTD | 10/11 outturn | 09/10 outturn |
|--------|----------------|------------|--------------|---------------|---------------|
| 46% | 56.88% | 54.68% | 49.77% | 50.74% | 46.00% |

Excellent performance for the YTD with 54.68% of offenders in employment at termination, indicating an improvement on the previous two years and above regional average performance. Ensuring that a good quality, i.e. all sections are thoroughly reviewed, and timely final review is completed is inherently linked to the success of this measure.

OM 39 (Contract) Tier 2, 3, 4 and PPO OASys Final Reviews:

| Target | Monthly Actual | YTD Actual | Regional YTD | 10/11 outturn | 09/10 outturn |
|--------|----------------|------------|--------------|---------------|---------------|
| 90% | 95.74% | 95.24% | 90.88% | 96.02% | 95.29% |

Excellent performance for the YTD with 95.74% of final reviews being completed on time. The effective use of localised management information and exception reports has resulted in the continuing achievement of this target.

INT 05 (Contract) Community Payback Completions:

| Target | Monthly Actual | YTD Actual | Regional YTD | 10/11 outturn | 09/10 outturn |
|--------|-----------------|------------------|-------------------|---------------|---------------|
| 1100 | 107 (92) (116%) | 427 (366) (117%) | 1833(1474) (124%) | 1318 | 1425 |

Excellent performance on this measure for the YTD, with 427 completions against a target of 366, or 117% of target, which is just below the regional average of 124%.

RED – The following indicators are currently not on target:

OM 21 Reducing Reoffending Rate (reoffending to March 2011; cohort to December 2010):

| All offenders | Caseload size | Number of reoffenders | Actual rate | Predicted rate | % difference | Statistical significance | Number of reoffences per 100 offenders |
|------------------|---------------|-----------------------|-------------|----------------|--------------|---------------------------|--|
| Derbyshire | 10652 | 914 | 8.58 | 7.82 | 9.73% | Higher than predicted | 14.97 |
| Derby | 3583 | 299 | 8.34 | 7.95 | 4.90% | No significant difference | 14.76 |
| Derbyshire (LDU) | 6952 | 605 | 8.70 | 7.72 | 12.70% | Higher than predicted | 15.28 |
| Community orders | Caseload size | Number of reoffenders | Actual rate | Predicted rate | % difference | Statistical significance | Number of reoffences per 100 offenders |
| Derbyshire | 8494 | 743 | 8.75 | 8.21 | 6.61% | No significant difference | 14.82 |
| Derby | 2700 | 232 | 8.59 | 8.61 | -0.19% | No significant difference | 14.78 |
| Derbyshire (LDU) | 5703 | 504 | 8.84 | 8.00 | 10.53% | Higher than predicted | 14.97 |
| Licence | Caseload size | Number of reoffenders | Actual rate | Predicted rate | % difference | Statistical significance | Number of reoffences per 100 offenders |
| Derbyshire | 2158 | 171 | 7.92 | 6.30 | 25.73% | Higher than predicted | 15.57 |
| Derby | 883 | 67 | 7.59 | 5.96 | 27.40% | No significant difference | 14.72 |
| Derbyshire (LDU) | 1249 | 101 | 8.09 | 6.47 | 24.97% | Higher than predicted | 16.65 |

The above table details the most recently published local adult reoffending statistics (16/08/11) and is segmented by Trust and LDU; further, by all offender, community order and licence caseload. At the overall level, our actual rate of reoffending is statistically significant at 9.73% higher than our predicted rate; which is also the case at Derbyshire County LDU level, where the actual rate of reoffending is statistically significant at 12.70% higher than the predicted rate. When further segmentation is done, it can be seen that the difference between the predicted and actual rates on licence cases is significant across the Trust and this could certainly be linked to the increased level of information sharing on IOM cases; however, more detailed analysis will be done on this when the full reoffending dataset is available.

OM 20 (PTRS) Orders or Licences Successfully Completed:

| Target | Monthly Actual | YTD Actual | Regional YTD | 10/11 outturn | 09/10 outturn |
|--------|----------------|------------|--------------|---------------|---------------|
| 74% | 64.59% | 72.10% | 72.58% | 73.80% | 74.60% |

With inconsistent performance during the YTD, performance is now 72.10%, which is below the regional average and a declining trend when compared to the previous two years. Further analysis on this measure indicates that 'revoked fail to comply' performance represents 16% of terminated cases at Trust, 18% at City LDU and 14% of cases at County LDU level. There are three pieces of work in train to investigate the underlying reasons for this issue:

- File audit of terminated cases, with specific focus on the substance misuse teams;
- Analysis of unsuccessful terminations at a lower level of segmentation i.e. OMU and Individual level;
- Compliance and/or breach survey to capture the feedback of the 3/10 cases who terminate unsuccessfully.

INT 01 (Contract) Sex Offender Programme (CSOGP) completions:

| Target | Monthly Actual | 2010/11 Actual | Regional YTD | 10/11 outturn | 09/10 outturn |
|--------|----------------|----------------|---------------|---------------|---------------|
| 27 | 1 (6) (17%) | 2 (6) (33%) | 31 (37) (84%) | 27 | 24 |

Following two out of four months below target, the YTD performance is 2 completions against a target of 6 (or 33% of YTD target, which is below the regional average of 84%). Experience indicates that it is very early within the performance year to suggest that there is a significant problem; however, there may be a need for further investigation.

INT 02 (Contract) Domestic Violence Programme (IDAP) completions:

| Target | Monthly Actual | 2010/11 Actual | Regional YTD | 10/11 outturn | 09/10 outturn |
|--------|----------------|----------------|-----------------|---------------|---------------|
| 54 | 5 (4) (125%) | 13 (16) (81%) | 100 (109) (92%) | 84 | 59 |

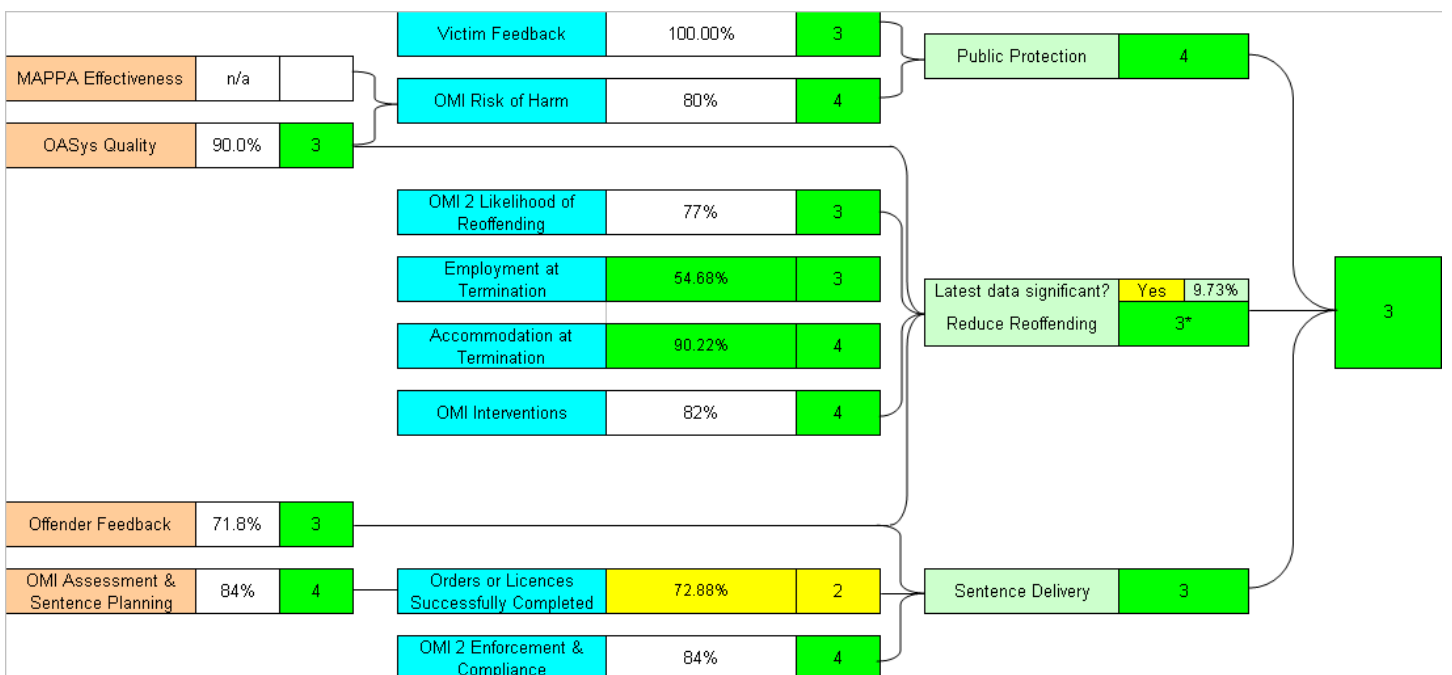
Following two out of four months below target, the YTD performance is 13 completions against a target of 16 (or 81% of YTD target, which is below the regional average of 92%). Experience indicates that it is very early within the performance year to suggest that there is a significant problem; however, there may be a need for further investigation.

INT 08 (Contract) Sustained Employment:

| Target | Monthly Actual | 2010/11 Actual | Regional YTD | 10/11 outturn | 09/10 outturn |
|--------|----------------|----------------|-----------------|---------------|---------------|
| 190 | 32 (16) (200%) | 55 (63) (87%) | 341 (351) (97%) | 203 | 205 |

Whilst July saw a significant uplift in performance (32 compared to a target of 16), three months as an 'Amber/Red' measure in Q1 means YTD performance is 87% of target (55 compared to a target of 63), which is below the regional average of 97%. A focus on recording practice in June and July has improved performance on this measure and dedicated admin staff have now been nominated to be responsible for utilising available exception reports, to ensure excellent recording practice is maintained. With confidence in recording practice going forward, we can be more sure when making the link between any potential future shortfall in performance and unemployment in Derbyshire per se.

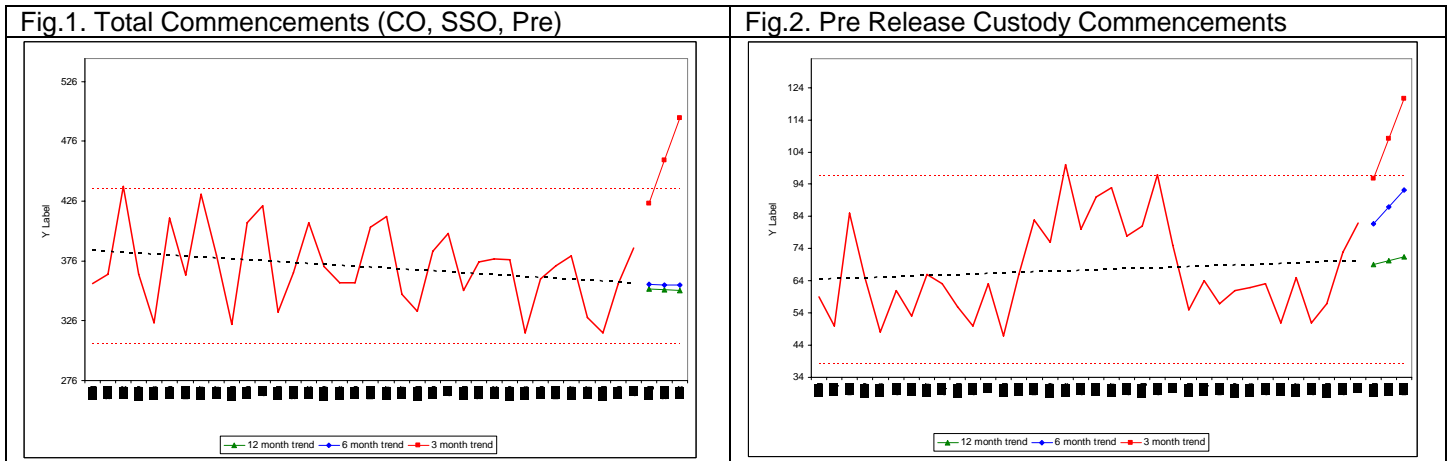
3. PTRS YTD July 2011 (Local 'What If' Analysis)



4. Demand Management (Crime & Caseload quarterly; Commencements updated monthly)

| Description | | Current YTD | Previous YTD | % Difference |
|----------------------------------|--|-------------|--------------|--------------|
| Crime (3 mth. Avg.) | All Crime (Feb – Apr '11; Sep – Nov '10) | 5296.0 | 5639 | -6.08% |
| | Burglary (as above) | 647.0 | 723.3 | -10.55% |
| | Robbery (as above) | 62.0 | 68.7 | -9.75% |
| | Vehicle Crime (as above) | 439.3 | 504 | -12.83% |
| | Violence (as above) | 1186.0 | 1257 | -5.65% |
| | Anti Social Behaviour (as above) | 4915.3 | 5119 | -3.98% |
| Caseload (Qtr. Avg.) | Caseload (offenders) (Q1) | 4416 | 4407 | 0.20% |
| | CO Caseload (offenders) (Q1) | 1434 | 1462 | -1.92% |
| | SSO Caseload (offenders) (Q1) | 817 | 759 | 7.64% |
| | Community Licenses (offenders) (Q1) | 598 | 556 | 7.55% |
| | Custody Licenses (offenders) (Q1) | 1733 | 1665 | 4.08% |
| Commencements (Apr – Jul '11) | Supervision Reqt Commencements | 617 | 594 | 3.87% |
| | DTTO/DRR Reqt Commencements | 62 | 61 | 1.64% |
| | CP/UPW Reqt Commencements | 726 | 726 | 0.00% |
| | Accredited Prog. Reqt Commencements | 101 | 135 | -25.19% |
| | Alcohol Treatment Reqt Commencements | 84 | 64 | 31.25% |
| | Curfew Reqt Commencements | 313 | 373 | -16.09% |
| | ETE Reqt Commencements | 91 | 115 | -20.87% |
| | SSO Commencements | 353 | 330 | 6.97% |
| | Pre Release Custody Commencements | 263 | 331 | -20.54% |
| | Post Release Custody Commencements | 309 | 317 | -2.52% |
| | Total Commencements (CO, SSO, Pre) | 1389 | 1465 | -5.19% |
| | SDR | 555 | 562 | -1.25% |
| | FDR | 482 | 485 | -0.62% |
| | Oral Reports | 178 | 181 | -1.66% |
| | Total Reports | 1370 | 1366 | 0.29% |

Sources: Items 1 – 6, <http://maps.police.uk>; Items 7 – 11, Sentencer Information Report (Performance Hub); Other Items, CRAMS (DPT).



From an overall caseload perspective, it can be seen that we have remained static (slight increase of 0.20%) when compared to the same period last year (Q1); however, the make up of our caseload has changed, with a reduction in community order caseload (-1.92%) and an increase in SSO (7.64%) and custodial caseload (4.95%).

Long term evidence continues to support the effectiveness of our demand management strategy, Fig.1 indicating that the 6, 12 and 36 month trends for commencements continue on a downward trajectory. Whilst some commencement increases are identified above, ATR's being expected, the relatively short time frame of this data (4 months) should be borne in mind. Pre release custody commencements are beginning to show signs of increasing again and the 3, 6, 12 and 36 month trends are all upward, which we will need to continue to monitor closely.

From a reports perspective, there has been a recent 'flattening out' of upward (FDR/Oral) and downward (SDR) trends, suggesting a natural equilibrium has been reached. The proportion of FDR's in all courts is 56.67%, whilst this figure is raised to 67.70% for magistrate's courts (excluding addendum reports). When comparing to the region, only Northamptonshire have a higher proportion of FDR's, 82.03% YTD in all courts.