



MONTHLY PERFORMANCE EXCEPTION REPORT¹

SEPTEMBER 2011

¹ This exception report is produced for the purpose of monitoring the running of Derbyshire Probation Trust in accordance with the Trust Contract.

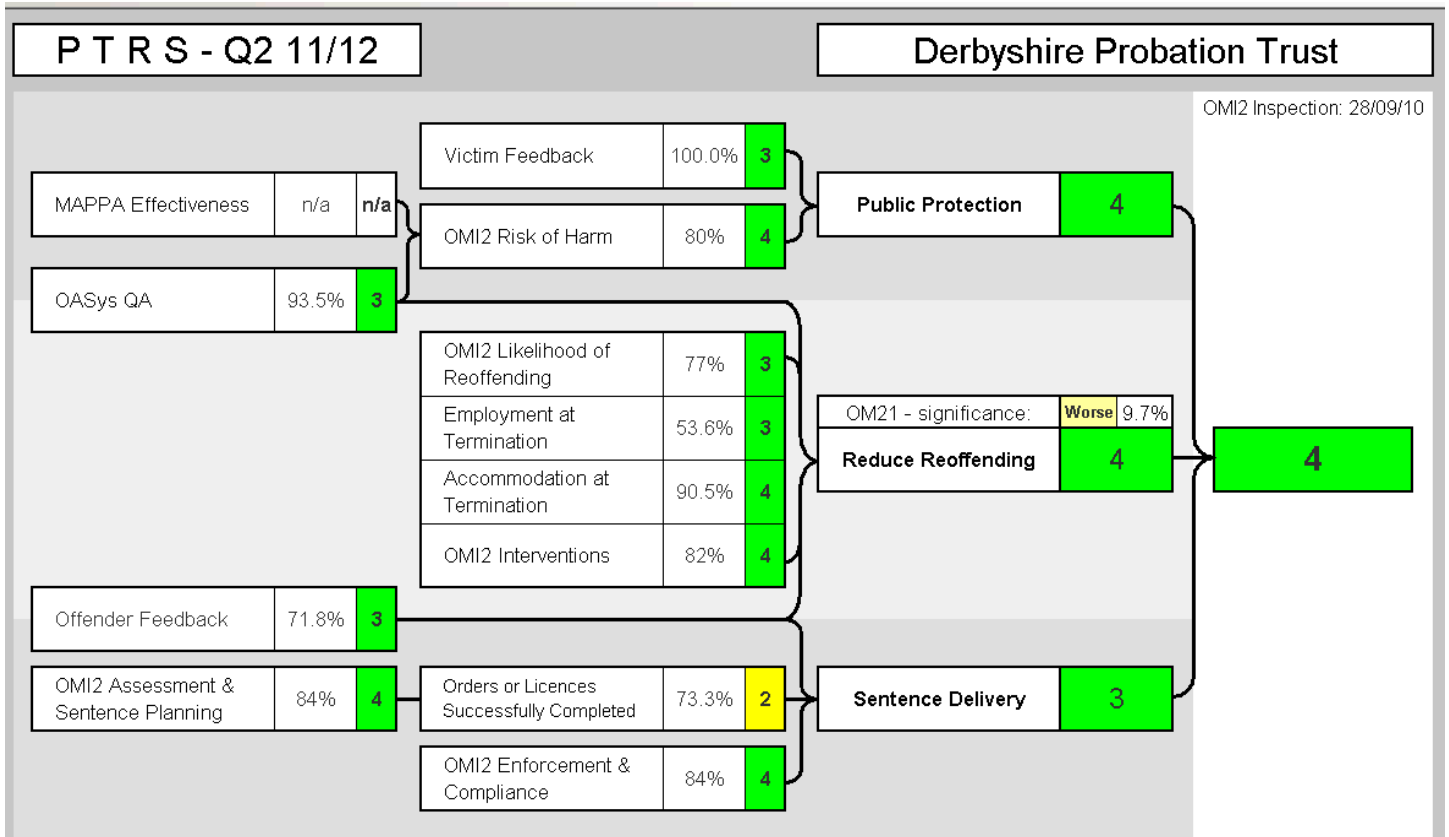
1. Executive Summary

The Trust continue to achieve excellent performance during 2011/12 and the provisional national PTRS for Q2 YTD shows that the Trust achieved level 4 performance for this time period; however, based on our current reoffending performance, this would be automatically moderated to level 3. Whilst the new, post consultation, reducing reoffending measure (published on 27 October) has an updated baseline year (2008 as opposed to 2007) and indicates better performance in Derbyshire as a whole, it has been confirmed that the NI 18 Local Adult Reoffending measure will continue to be used to assess performance within the PTRS; hence, it is prudent to forecast level 3 performance as we await the next publication of this data alongside the validated PTRS on 15 November.

Section 2 identifies four Areas For Improvement (AFI's) in relation to the PTRS and Contract measures, the most notable being: OM20, Order or Licences Successfully completed, which is a so-called 'leading indicator' for reoffending and contributes 12% of the overall PTRS rating; and, OM21 Reduce Reoffending Rate, which is statistically significant at 9.73% higher than predicted. Further detail on these measures is provided in the body of the report, including a detailed reoffending analysis in section 3.

An analysis of demand is presented in section 4 and there is some early evidence of upward trends in four areas: Supervision (+9.09%), Community Payback (+3.57%) and Alcohol Treatment Requirements (+11.76%), and Suspended Sentence Orders (+11.68%); and, we will continue to monitor these closely.

Provisional National PTRS Quarter 2 YTD 2011/12



Performance highlights for 2011/12 YTD include:

- Second best in class performance for Accommodation at Termination (90.46%; best in class 90.80%);
- Excellent performance for Employment at Termination (53.62%; regional average 50.42%);
- Region-best performance for OASys Quality Assurance with 93.42% of assessments judged as sufficient;
- Sustained employment performance transformed in quarter 2, now at 114% of target.

Richard Angrave BA (Hons) MCFI
Performance & Information Manager
28th October 2011

2. Summary of Performance – PTRS and Contract Measures

GREEN – The following indicators are currently being achieved year to date and have shown exceptionally good performance:

OM 17 (PTRS) Accommodation at Termination:

Target	Monthly Actual	YTD Actual	Best in Class	10/11 outturn	09/10 outturn
80%	88.89%	90.46%	90.80% (Warks)	89.46%	87.99%

Exceptional performance for the YTD with 90.46% of offenders in accommodation at termination, indicating an improvement on the previous two years and near best in class performance. Ensuring that a good quality, i.e. all sections are thoroughly reviewed, and timely final review is completed is inherently linked to the success of this measure.

INT 09 (PTRS) Employment at Termination:

Target	Monthly Actual	YTD Actual	Best in Class	10/11 outturn	09/10 outturn
46%	49.17%	53.62%	61.87% (Warks)	50.74%	46.00%

Very good performance for the YTD with 54.69% of offenders in employment at termination, indicating an improvement on the previous two years and 9th best performance nationally. Ensuring that a good quality, i.e. all sections are thoroughly reviewed, and timely final review is completed is inherently linked to the success of this measure.

OM 26 (PTRS) OASys Quality Assurance

Target	Q2 Actual	YTD Actual	Best in Class	10/11 outturn	09/10 outturn
90%	93.48%	93.48%	99.53% (Durham)	90.00%	N/A

Very good performance for the YTD with 93.48% of OASys assessments quality assured as being of sufficient standard or higher. This represents an improvement on the previous year, is above target and is 12th best nationally, notably being the best performance in the East Midlands region.

OM 39 (Contract) Tier 2, 3, 4 and PPO OASys Final Reviews:

Target	Monthly Actual	YTD Actual	Best in Class	10/11 outturn	09/10 outturn
90%	92.20%	94.47%	97.18% (Cheshire)	96.02%	95.29%

Excellent performance for the YTD with 94.47% of final reviews being completed on time. The effective use of localised management information and exception reports has resulted in the continuing achievement of this target.

OM 27 (Contract) Indeterminate Sentence Prisoners PAROM1 timeliness (Generic Parole Process):

Target	Monthly Actual	YTD Actual	Best in Class	10/11 outturn	09/10 outturn
80%	100%	96.77%	100%* (Several)	97.56%	n/a

* Best in Class comparison relates to April – August 2011 performance only – September unavailable as at 27th October.

Excellent performance on this measure continues, with 7 out of 7 PAROM1 reports completed on time in September and 30 out of 31 on time for the YTD. This indicates above regional average performance (albeit below best in class), with excellent local processes and close liaison with PPCS to identify process errors being key to success; indeed, the only 'failure' during the YTD was a process error (i.e. the PAROM1 was actually completed by the Offender Manager on time) and is currently the subject of a challenge by the Trust.

INT 08 (Contract) Sustained Employment:

Target	Monthly Actual	YTD Actual	Regional YTD	10/11 outturn	09/10 outturn
190	32 (16) (200%)	108 (95) (114%)	616 (530) (116%)	203	205

Quarter 2 saw a significant uplift in performance (85 compared to a quarterly target of 48) and has effectively cancelled out the three months as an 'Amber/Red' measure in Q1; thus, meaning YTD performance is now 114% of target (back in line with region). A focus on recording practice from June onwards has significantly improved performance on this measure and dedicated admin staff have now been nominated to be responsible for utilising available exception reports, to ensure excellent recording practice is maintained. With confidence in recording practice going forward, we can be more sure when making the link between any potential future shortfall in performance and unemployment in Derbyshire per se.

RED – The following indicators are currently not on target:

OM 20 (PTRS) Orders or Licences Successfully Completed:

Target	Monthly Actual	YTD Actual	Best in Class	10/11 outturn	09/10 outturn
74%	69.58%	73.33%	87.53% (Dorset)	73.80%	74.60%

Performance has fluctuated throughout the year and following the highest monthly completion rate of the year in August at 77.36%, performance in September has dropped to 69.58% and YTD performance is 73.33%. This represents 29th best performance nationally, with Dorset being best in class at 87.53%. Notably, both the 'revoked – fail to comply' and 'revoked – further offence' performance are high in September at 16.4% and 13.6% respectively. There are four pieces of work currently in train to improve performance on this measure:

- Analysis of unsuccessful terminations at a lower level of segmentation i.e. OMU and Individual level (complete);
- File audit of terminated cases, with specific focus on the substance misuse teams (planned for 31/10/11);
- Review of termination recording practice to ensure this task is prioritised (November);
- Compliance and/or breach survey to capture the feedback of the 3/10 cases who terminate unsuccessfully (planned for Jan 12).

OM 21 (PTRS) Reducing Reoffending Rate (reoffending to March 2011; cohort to December 2010):

All offenders	Caseload size	Number of reoffenders	Actual rate	Predicted rate	% difference	Statistical significance	Number of reoffences per 100 offenders
Derbyshire	10652	914	8.58	7.82	9.73%	Higher than predicted	14.97
Derby	3583	299	8.34	7.95	4.90%	No significant difference	14.76
Derbyshire (LDU)	6952	605	8.70	7.72	12.70%	Higher than predicted	15.28

The above table details the most recently published local adult reoffending statistics (16/08/11) and is segmented by Trust and LDU. At the overall level, our actual rate of reoffending is statistically significant at 9.73% higher than our predicted rate; which is also the case at Derbyshire County LDU level, where the actual rate of reoffending is statistically significant at 12.70% higher than the predicted rate. A more detailed analysis of the reoffending data is included in Section 3 of this report.

INT 02 (Contract) Domestic Violence Programme (IDAP) completions:

Target	Monthly Actual	YTD Actual	Regional YTD	10/11 outturn	09/10 outturn
54	1 (4) (25%)	19 (24) (79%)	160 (172) (93%)	84	59

Following three out of six months below target, the YTD performance is 19 completions against a target of 24 (or 79% of YTD target, which is below the regional average of 93%). Experience indicates that it is very early within the performance year to suggest that there is a significant problem; however, there may be a need for further investigation.

INT 02 (Contract) Accredited Offending Behaviour Programme (OBP) completions:

Target	Monthly Actual	YTD Actual	Regional YTD	10/11 outturn	09/10 outturn
170	5 (14) (36%)	55 (56) (98%)	321 (404) (79%)	183	236

Performance on this target has been relatively consistent throughout the YTD; however, the YTD performance is just below target at 55 completions against a target of 56 (98%). Whilst this is not a cause for concern, we need to be mindful that our target profile increases throughout the remainder of the performance year and we have 115 completions to deliver during the six months from October – March, more than twice as many as in the first six months.

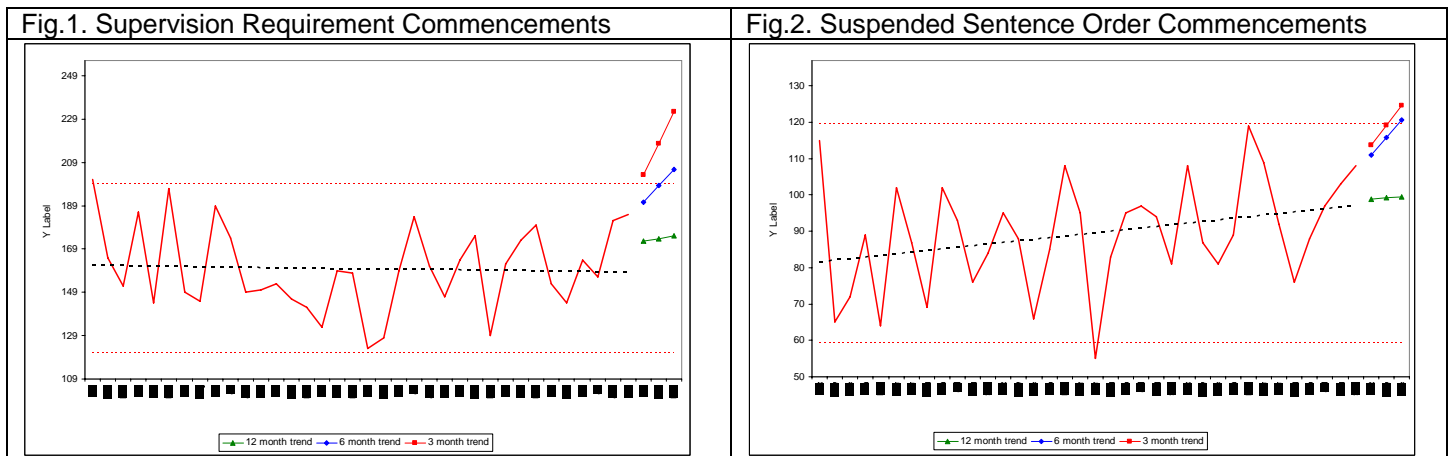
3. Reoffending Analysis

Area/LDU Profile	Derbyshire Area	City LDU	County LDU	Key Points:										
Predicted Reoffending rate	7.82	7.95	7.72	<ul style="list-style-type: none"> Chesterfield is the only council to have an actual reoffending rate that is lower than predicted – previously Amber Valley, Derbyshire Dales and NE Derbyshire were below predicted; City IOM has a higher actual rate of reoffending than predicted, but the low numbers involved (2 cohorts, 131 offenders) must be borne in mind when drawing any conclusions from this data; Licence cases have the lowest predicted rate of reoffending of all sub groups profiled, however, the actual rate of reoffending is statistically significantly higher than predicted; UPW, ETE and Programme requirements show excellent performance when comparing actual rates to predicted rates, notably when the requirement has been completed. 										
Actual Reoffending rate	8.58	8.34	8.70											
% difference from predicted "baseline"	9.73	4.97	12.73											
Above or below the predicted reoffending rate?	Above	Above	Above											
Is the difference from the predicted rate statistically significant?	Yes	No	Yes											
(no. of individuals reoffending)	914	299	605											
(no. of cases in sub group)	10652	3583	6952											
Council Profile	Derbyshire Area	Amber Valley	Bolsover	Chesterfield	Derbyshire Dales	Derby City	Erewash	High Peak	N.E.Derbyshire	S.Derbyshire				
Predicted Reoffending rate	7.82	7.59	7.96	8.64	5.87	7.95	7.54	6.90	7.07	6.80				
Actual Reoffending rate	8.58	7.77	9.09	8.16	6.57	8.17	8.68	8.77	7.62	8.86				
% difference from predicted "baseline"	9.73	2.42	14.18	-5.56	11.83	4.90	15.07	27.06	7.78	30.32				
Above or below the predicted reoffending rate?	Above	Above	Above	Below	Above	Above	Above	Above	Above	Above				
Is the difference from the predicted rate statistically significant?	Yes	No	No	No	No	No	No	No	No	No				
(no. of individuals reoffending)	914	85	73	104	22	284	108	59	50	53				
(no. of cases in sub group)	10652	1094	803	1275	335	3478	1244	673	656	598				
Caseload Profile	Derbyshire Area	*City IOM Case load*	Female cases	Male cases	BME cases	White cases	Community Orders	Licences						
Predicted Reoffending rate	7.82	21.91	6.72	7.98	6.47	7.98	8.14	6.30						
Actual Reoffending rate	8.58	28.24	7.25	8.80	7.55	8.73	8.69	7.92						
% difference from predicted "baseline"	9.73	28.94	7.91	10.32	16.69	9.40	6.65	25.73						
Above or below the predicted reoffending rate?	Above	Above	Above	Above	Above	Above	Above	Above						
Is the difference from the predicted rate statistically significant?	Yes	No	No	Yes	No	Yes	No	Yes						
(no. of individuals reoffending)	914	37	102	809	91	819	734	171						
(no. of cases in sub group)	10652	131	1406	9193	1206	9377	8450	2158						
<small>*IOM: only 2 caseload samples included, not the 4 for all other sub groups</small>														
Interventions Profile	Derbyshire Area	CO's with UPW reqts	UPW completions	Standalone UPW reqts	Standalone completions	CO's with ETE reqts	ETE completions	CO's with Prog reqts	Prog completions	Sex Offender completions (INT13)	Domestic Abuse completions (INT14)	General Offender completions (INT15)		
Predicted Reoffending rate	7.82	7.71	6.31	7.22	5.89	11.75	10.29	8.08	6.54	1.79	4.27	8.32		
Actual Reoffending rate	8.58	7.27	4.60	7.09	2.96	13.69	9.61	8.08	4.95	2.11	3.96	5.83		
% difference from predicted "baseline"	9.73	-5.77	-27.06	-1.80	-49.69	16.46	-6.59	-0.09	-24.32	17.37	-7.26	-29.97		
Above or below the predicted reoffending rate?	Above	Below	Below	Below	Below	Above	Below	Below	Below	Above	Below	Below		
Is the difference from the predicted rate statistically significant?	Yes	No	Yes	No	Yes	No	No	No	Yes	No	No	Yes		
(no. of individuals reoffending)	914	338	155	94	35	134	64	165	60	2	15	43		
(no. of cases in sub group)	10652	4650	3368	1325	1181	979	666	2043	1212	95	379	738		

4. Demand Management (Crime & Caseload quarterly; Commencements updated monthly)

Description		Current YTD	Previous YTD	% Difference
Crime per 1,000 popn.	All Crime (12 months to June 10 vs June 11)	64.5	66.7	-3.25%
	Burglary (as above)	8.2	8.8	-7.39%
	Robbery (as above)	0.7	0.81	-13.58%
	Vehicle Crime (as above)	5.9	6.93	-15.15%
	Violence (as above)	12.7	12.64	0.63%
	Anti Social Behaviour (as above)	62.8	68.39	-8.13%
Caseload (Qtr. Avg.)	Caseload (offenders) (Q2)	4465	4379	1.96%
	CO Caseload (offenders) (Q2)	1735	1770	-1.98%
	SSO Caseload (offenders) (Q2)	962	907	6.06%
	Community Licenses (offenders) (Q2)	722	708	1.98%
	Custody Licenses (offenders) (Q2)	1290	1267	1.82%
Commencements (Apr – Sep '11)	Supervision Reqt Commencements	984	902	9.09%
	DTTO/DRR Reqt Commencements	95	108	-12.04%
	CP/UPW Reqt Commencements	1162	1122	3.57%
	Accredited Prog. Reqt Commencements	164	200	-18.00%
	Alcohol Treatment Reqt Commencements	133	119	11.76%
	Curfew Reqt Commencements	495	546	-9.34%
	ETE Reqt Commencements	155	163	-4.91%
	SSO Commencements	564	505	11.68%
	Pre Release Custody Commencements	384	450	-14.67%
	Post Release Custody Commencements	466	472	-1.27%
	Total Commencements (CO, SSO, Pre)	2184	2191	-0.32%
	SDR	816	817	-0.12%
	FDR	797	781	2.05%
	Oral Reports	285	275	3.64%
	Total Reports	2112	2102	0.48%

Sources: Items 1 – 6, <http://www.hmic.gov.uk>; Items 7 – 11, Sentencer Information Report (Performance Hub); Other Items, CRAMS (DPT).



From an overall caseload perspective, it can be seen that there has been an increase of 2% when compared to the same period last year (Q2) and the make up of our caseload continues to change, with a reduction in community order caseload (-1.98%) and an increase in SSO (6.06%) and custodial caseload (1.87%).

In terms of demand management, whilst total commencements have remained relatively stable when comparing this YTD to the previous YTD (0.32% decrease), there are some upward trends being seen in relation to Supervision (+9.09%), Community Payback (+3.57%) and Alcohol Treatment Requirements (+11.76%), and Suspended Sentence Orders (+11.68%). Fig.1 and Fig.2 show the 3, 6 and 12 month commencement trends for Supervision Requirement and SSO commencements, which whilst spiky, are all upwards and we need to keep a close eye on these two areas in particular.

From a reports perspective, there has been a recent 'flattening out' of upward (FDR/Oral) and downward (SDR) trends; albeit, we are now beginning to see the expected increase in FDR's following the implementation of PI 05/2011 (see table). The proportion of FDR's in all courts is 58.18%, whilst this figure is raised to 68.02% for magistrate's courts (excluding addendum reports). When comparing to the region, only Northamptonshire have a higher proportion of FDR's, 81.26% YTD in all courts.