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Ministry of
JUSTICE

National Offender
Management Service

DERBYSHIRE PROBATION TRUST

MONTHLY PERFORMANCE EXCEPTION REPORT¹

FEBRUARY 2011

¹ This exception report is produced for the purpose of monitoring the running of Derbyshire Probation Trust in accordance with the Trust Contract.

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1. Executive Summary

The Trust continue to exceed our targets in a significant number of areas across the 4 Probation Trust Rating System (PTRS) domains, the PTRS "What If" analysis for the YTD (section 5) indicating that we continue to achieve solid level 3 performance. We do however need to continue to focus on the Interventions domain, which has improved to level 3 performance, but still has five measures at level 2 (any 2 of which dropping to level 1 would result in a level 2 domain score). Note that a new rule is to be implemented in the Q4 PTRS which states, 'a Probation Trust with any domain rated at level 1 or level 2 cannot achieve a level 4, exceptional performance rating overall.'

The latest local adult reoffending performance statistics were released on 22/02/11 and indicate that our actual rate of reoffending is statistically significant at 10.09% higher than our predicted rate (section 4), representing an increase in both City and County for the four most recent cohorts and work has been completed to identify cohorts for IOM in both LDU's. The City LDU have made significant progress in establishing this approach, having a co-located IOM team at Cotton Lane Police Station in Derby and a developing performance management framework to identify entry, exit and success criteria for their IOM cohort. The County LDU cohort has been provisionally identified and three planning meetings have taken place to progress the County IOM model, with co-located IOM teams due to be in place at Bay Heath House, Ilkeston Probation and Buxton Probation by 01/06/11. This work is anticipated to address the needs of those offenders where the evidence suggests a proportionally higher risk of reoffending and therefore have a positive impact on this outcome.

We need to continue to closely monitor demand, particularly in terms of our custodial workload, and section 3 of this report gives further detail on this issue, including graphical representation and an update on the PIU modelling work in this area. It is also worthy of note that the 3, 6 and 12 month trend in SSO commencements is increasing, with February recording the highest number of commencements (119) for 3 years.

Section 6 of this report includes the most recent Trust Balanced Scorecard (Q3), which indicates good holistic performance and progress towards our strategic plan priorities.

Performance highlights for 2010/11 YTD include:

- Level 3, or above, across all 4 PTRS domains and overall:
 - Region-best Generic Parole Performance at 100% (regional average 76%);
 - 90% OASys timeliness performance across all plans;
 - IDAP completion rate now significantly above target at 78.31% and region-best performance;
 - Staff sickness at 8.99 days remains strong.
- 5% reduction in overall caseload (regional average +0.19%);
- 30% reduction in SDR's and corresponding increase in FDR's (20%) and Oral Reports (42%);
- Staff satisfaction rate of 67%, against a target of 55% (set against the 2009 benchmark).

Richard Angrave, Performance & Information Manager
28th March 2011.

2. Summary of Performance – Service Delivery Indicators

GREEN – The following indicators are currently being achieved year to date and have shown exceptionally good performance:

OM 27 Indeterminate Sentence Prisoners PAROM1 timeliness (Generic Parole Process):

Target	Monthly Actual	YTD Actual	Regional YTD	09/10 outturn	Rolling 12 months
80%	100%	100%	76.00%	34.78%	N/A

Excellent performance on this measure continues, with 100% performance for the 11th successive month and 34 out of 34 on time for the YTD. The newly available regional benchmark data clearly shows our exceptional performance on this measure. We continue to work closely with the PPCS to identify central process errors and resolve specific issues.

OM 35 Tier 4 & PPO OASys Timeliness:

Target	Monthly Actual	YTD Actual	Regional YTD	09/10 outturn	Rolling 12 months
90%	95.17%	95.05%	94.21%	93.22%	N/A

Excellent performance on this measure continues, with a continued focus on ISP's and monthly performance thereof 94.29%; YTD ISP performance is now 93.85% (351 out of 374: 14xT4 & 9xPPO missed). RSP performance during the month, recovered from last months blip to 96.05% (73 out of 76 reviews completed on time). Current performance remains above the regional average for the YTD.

OM 40 Court Report Timeliness (inc RIC):

Target	Monthly Actual	YTD Actual	Regional YTD	09/10 outturn	Rolling 12 months
90%	98.00%	99.56%	98.02%	96.60%	N/A

Exceptional performance throughout the year with 3,378 reports completed within timescales, out of a total of 3,393, indicating an improvement on the previous year and above regional average performance.

INT 05 Community Payback Completions:

Target	Monthly Actual	YTD Actual	Regional YTD	09/10 outturn	Rolling 12 months
1100	89 (91) (98%)	1210(1009) (120%)	4889(4090) (120%)	1425	1332

Overall, excellent performance continues with YTD performance above target at 120%; despite a slight dip in completion numbers during December and January at 63 and 80 respectively. Performance now equals the regional average and is in line with previous years' performance (projected year end out-turn, based on current performance, is 1320 – 1332).

INT 07 ATR completions:

Target	Monthly Actual	YTD Actual	Regional YTD	09/10 outturn	Rolling 12 months
135	15 (11) (136.4%)	127 (124) (102.4%)	534 (439) (121.6%)	179	141

Following four months below target during the year, the achievement of 15 completions during February has resulted in YTD performance of 127 completions, or 102% of target, and therefore Green. The rolling 12 month completion total (141) suggests that we are well on track to achieve this target (135). An exception report was issued on 23/02/11 detailing the cohort of 26 due to complete before 31st March to assist with the achievement of this target.

INT 14 IDAP completion rate:

Target	Monthly Actual	YTD Actual	Regional YTD	09/10 outturn	Rolling 12 months
70%	79.17% (19/24)	78.31%	68.67%	74.71%	78.49%

YTD performance is now well above target at 78.31% (65 completed out of 83), following a period of under performance during the early part of the year (see below for further detail of related cohort). The rolling 12 month performance, which is more indicative of the likely year end outturn (as it includes the above cohort), is above target at 78.49% and the YTD performance remains above the regional average.

October – December 2008 cohort: Based on the 09/10 outturn and the current rolling 12 month performance, it is fair to say that this particular cohort have been less compliant than is normally the case for this programme, 5 of the 7 non-completers being revoked: 4 being given a custodial sentence and one completing the programme on a one to one basis with the offender manager. The remaining two have been transferred out and we are therefore reliant on the new owning area ensuring that the offender completes the programme before we can be accredited with the completion rate.

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IPPF 08 Sickness Absence:

Target	Monthly Actual	YTD Actual	Regional YTD	09/10 outturn	Rolling 12 months
10	8.63	8.99	8.44	8.70	9.00

In keeping with the previous two years, there was an excellent start to the year with very low sickness levels, well below the 10 day target, which has been followed by an increase in sickness levels during the period September – December and a return to a lower level in two subsequent months (January - 9.01 and February 8.63 days). The YTD performance remains on target at 8.99 days, which is below the rolling 12 month total but above the regional average of 8.44 days.

RED – The following indicators are currently not on target:

INT 08 Sustained Employment:

Target	Monthly Actual	YTD Actual	Regional YTD	09/10 outturn	Rolling 12 months
200	17 (16) (106.25%)	177 (184) (96.20%)	1168(1050) (111%)	205	187

Following three months as a 'Red' measure, performance has improved in January and February, recording 20 and 17 completions respectively against a target of 16, following a focus on recording practice. YTD performance remains below target at 96% of the YTD target (177 compared to a target of 184), which is below the regional average of 111% and the rolling 12 month total of 187 suggests that the annual target of 200 will be challenging.

INT 17 DRR Completion Rate:

Target	Monthly Actual	YTD Actual	Regional YTD	09/10 outturn	Rolling 12 months
50%	52.38%	47.98%	48.67%	54.24%	48.18%

Although performance has been above target for the past two months, YTD performance on this indicator remains below target at 47.98%, primarily due to the quarter 3 out-turn being well below target at 33.33%. The rolling 12 month performance of 48.18% would indicate that the 50% target is challenging. It is worthy of note that this months total of 11 completions has exceeded the volume completion target (92). An exception report was issued on 23/02/11 detailing the cohort of 14 due to complete before 31st March to assist with the achievement of this target.

OM 19 Education Provider Referrals:

Target	Monthly Actual	YTD Actual	Regional YTD	09/10 outturn	Rolling 12 months
820	68 (68) (100%)	739 (752) (98.27%)	3572(3583)(99.7%)	876	N/A

Although this measure remains below target for the YTD, it is worthy of note that a significant amount of work has been done by the ETE team to identify those Information, Advice and Guidance (IAG) referrals that have gone on into learning provision and therefore count as a 'Learner' referral (i.e. the new definition of this target). YTD performance has been transformed from 68.05% of target (as at Q2) to 98.27%, or 739 against a target of 752. Based on the current trend, we would not expect to achieve this target.

INT01 CSOGP completions:

Target	Monthly Actual	YTD Actual	Regional YTD	09/10 outturn	Rolling 12 months
27	4 (3) (133%)	17 (24) (70.83%)	113 (106) (106.6%)	24	26

YTD performance remains below target on this indicator and we now require 10 completions in the final month of 2010/11. As at 23rd March, we had achieved 2 further completions for the month (19 for the YTD) with a further 11 completions awaiting completion of their post programme report by the NSPCC.

IPPF 02 NS Interventions:

Target	Monthly Actual	YTD Actual	Regional YTD	09/10 outturn	Rolling 12 months
90%	92.17%	89.60%	88.32%	87.46%	89.49%

Performance on this indicator has fluctuated throughout the year, YTD now being just below target at 89.60%, representing 11th best performance nationally; this is 3rd in the region behind Notts and Northants. Performance remains volatile, primarily as a result of Q29 and Q48 in the basket: Q29 relates to the offender being tested in accordance with the offence seriousness band on DRR's (16 out of 33, or 48% YTD); Q48 relates to offender attendance for a minimum average of 6 hours per week on unpaid work sessions (176 out of 222, or 79% YTD). The Trust has recently adopted an extended UPW session length of 7.25 hours, which is expected to translate into performance improvement in this area; however, this will take some time due to the 6 month retrospective nature of the NSM file reading process.

3. Demand Management (Crime & Caseload Quarterly; Commencements updated monthly)

Description		Current YTD	Previous YTD	% Difference
Crime	All Crime (3 month average, Sep – Nov)	5639	5720	-1.4%
	Burglary (as above)	723.3	758	-4.6%
	Robbery (as above)	68.7	73.7	-6.8%
	Vehicle Crime (as above)	504	719.7	-30%
	Violence (as above)	1257	1156.7	8.7%
	Anti Social Behaviour (as above)	5119	5416.3	-5.5%
Caseload	Caseload (offenders) (Q3)	3828	4029	-4.99%
	CO Caseload (offenders) (Q3)	1435	1674	-14.28%
	SSO Caseload (offenders) (Q3)	746	826	-9.69%
	Community Licenses (offenders) (Q3)	575	537	7.08%
	Custody Licenses (offenders) (Q3)	1120	1073	4.38%
Commencements	Supervision Reqt Commencements	1705	1689	0.95%
	DTTO/DRR Reqt Commencements	195	190	2.63%
	CP/UPW Reqt Commencements	2057	2084	-1.3%
	Accredited Prog. Reqt Commencements	348	444	-21.62%
	Alcohol Treatment Reqt Commencements	208	194	7.22%
	Curfew Reqt Commencements	1056	1065	-0.85%
	ETE Reqt Commencements	290	317	-8.52%
	SSO Commencements	989	953	3.78%
	Pre Release Custody Commencements	744	774	-3.88%
	Post Release Custody Commencements	880	691	27.35%
	Total Commencements (CO, SSO, Pre)	3995	4132	-3.32%
	SDR	1551	2211	-29.85%
	FDR	1442	1207	19.47%
	Oral Reports	497	349	42.41%
Total Reports	3844	4087	-5.95%	

Sources: Items 1 – 6, <http://maps.police.uk>; Items 7 – 11, Sentencer Information Report (Performance Hub); Other Items, CRAMS (DPT).

Fig.1. Post Release Custody Commencements

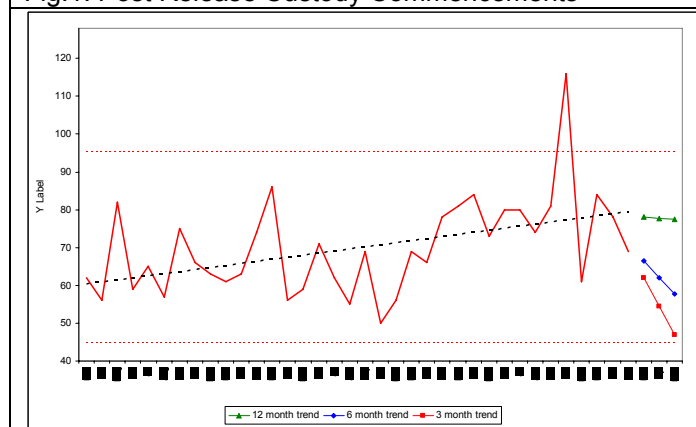
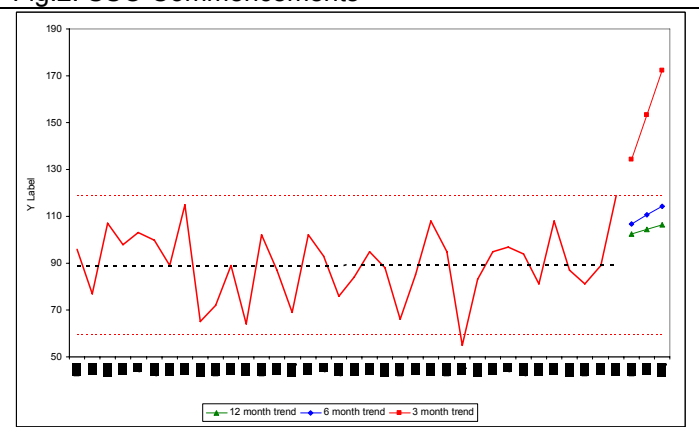


Fig.2. SSO Commencements



From an overall caseload perspective, it can be seen that we have reduced by 5% (201) on the same period last year (Q3); however, the make up of our caseload has changed, with a 13% (319) reduction in community order and SSO caseload offset by an almost corresponding increase of 5% (86) in the custodial caseload. Whilst this is not numerically similar, the longer length of licences and generally higher tier of this work should be borne in mind when making caseload comparisons over time.

Evidence continues to support the effectiveness of our community order demand management strategy, with reductions in the number of requirements across the board, with the only exceptions being supervision, DRR and ATR requirements where slight growth is shown. However, Fig.2 indicates the 36 month trend in SSO commencements, including the 3, 6 and 12 month projections, which all show increasing levels and the latest commencement data indicates an increase on the previous year of 3.78%. February saw the largest number of SSO commencements (119) for 36 months.

From a reports perspective, the growth in FDR's and corresponding reduction in SDR's is clearly evident from the table above and again is evidence of our demand strategy being implemented. The proportion of FDR's in all courts is 57.88%,

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whilst this figure is raised to 66.44% for magistrate's courts (excluding addendum reports). When comparing to the region, only Northamptonshire have a higher proportion of FDR's, 88.69% YTD in all courts.

Custodial Caseload Summary

In respect of the custodial caseload, Fig.1 indicates the 36 month upward trend in post release custody cases and importantly the 3, 6 and 12 month trends going down. A piece of work is ongoing to look at the increase in pre-release custody cases of October 09 – June 10 and corresponding increase in caseload, in order to identify/predict when this impact will be seen in post-release custody cases. A summary of the key points to date is included below.

Caseload

- Pre-release custodial caseload has grown from 463 (30/06/09) to 517 (31/12/10) [+11.66%];
- Post-release custodial caseload has grown from 254 (30/06/09) to 294 (31/12/10) [+15.75%].

Commencements

- Average number of pre-release commencements Feb 08 – Jan 11 (excluding Oct 09 – Jun 10) is 66 per month;
- Average number of pre-release commencements Oct 09 – Jun 10 is 86 per month [proportionally +30% over a 9 month period];
- Average number of post-release commencements Feb 08 – Jan 10 is 65 per month;
- Average number of post-release commencements Feb 10 – Jan 11 is 80 per month [proportionally +23% over a 12 month period];
- Comparing the actual numbers involved, there have been 20 additional sentences over a 9 month period (180) and 15 additional licence releases over a 12 month period (180), so broadly speaking, the growth in post release caseload has happened.

Summary

- It can clearly be seen from both the caseload and commencement data that some of the growth in pre-release custody cases has already been reflected in the post-release custodial caseload; however, the fact remains that the pre-release custodial caseload is at its highest point since June 2009 and has seen a numerically higher increase than the post-release caseload. That said, Fig.1 indicates a reduction in pre-release commencement trends over a 3, 6 and 12 month period, which is encouraging;
- The PIU are continuing to work on a model to accurately predict growth in the post-release custodial caseload; however, this is notoriously difficult due to recording of licence/sentence expiry dates, multiple recall/releases and will not be an exact science.

4. NI 18 Reducing Reoffending Performance (October 2009 – September 2010 cohort)

Region	Probation Trust	Local Authority	Cohort size (combining four quarters of probation caseload data)[1]	Actual rate of re-offending	Predicted rate of re-offending	% difference from baseline[2]
East Midlands			50226	9.26%	9.31%	-0.49%
	Derbyshire		11272	8.54%	7.76%	10.09%
		Derby	3706	8.61%	7.87%	9.35%
		Derbyshire	7458	8.47%	7.68%	10.32%
	Leicestershire		10401	8.09%	8.04%	0.56%
		Leicester	5488	8.25%	8.27%	-0.14%
		Leicestershire	4726	8.06%	7.85%	2.64%
		Rutland	174	5.17%	5.57%	-7.20%
	Lincolnshire		6186	9.44%	9.42%	0.21%
		Lincolnshire	6178	9.32%	9.40%	-0.80%
	Northamptonshire		7584	8.39%	8.27%	1.40%
		Northamptonshire	7589	8.33%	8.27%	0.65%
	Nottinghamshire		14783	11.01%	11.86%	-7.18%
		Nottingham	6589	10.96%	12.19%	-10.14%
		Nottinghamshire	8078	10.87%	11.54%	-5.80%

