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# **MONTHLY PERFORMANCE EXCEPTION REPORT<sup>1</sup>**

## **AUGUST 2011**

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<sup>1</sup> This exception report is produced for the purpose of monitoring the running of Derbyshire Probation Trust in accordance with the Trust Contract.

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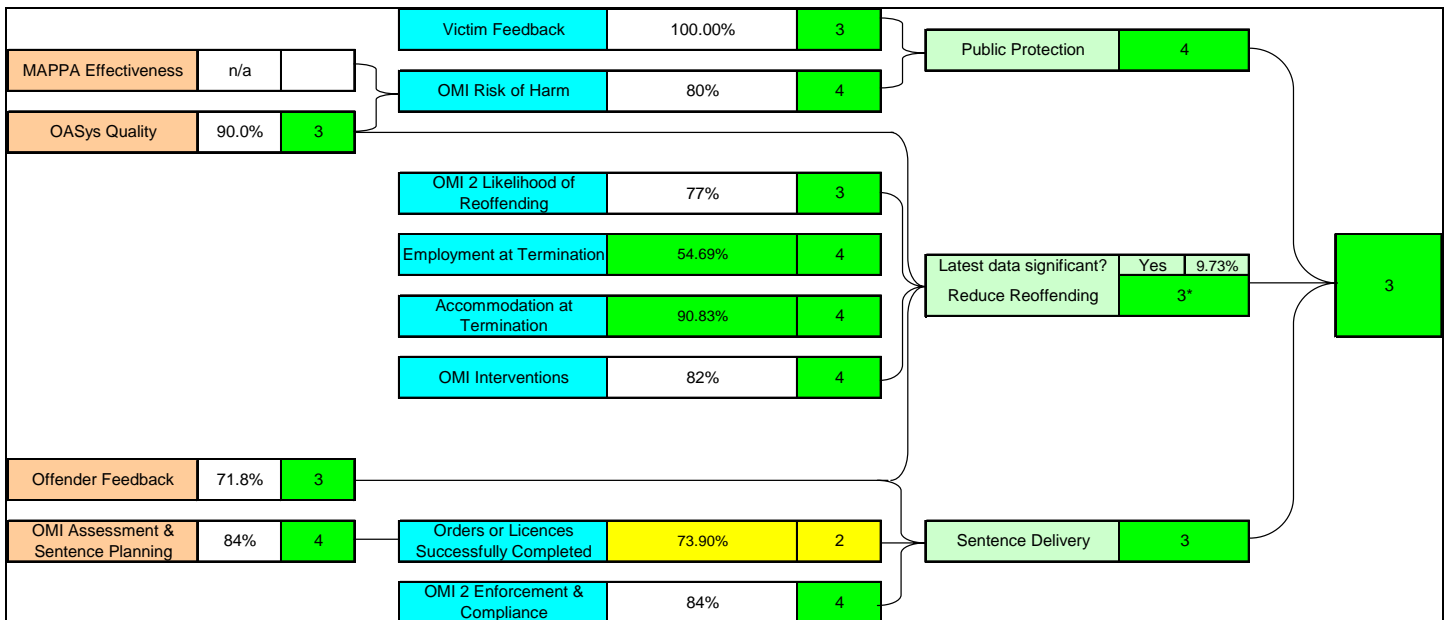
## 1. Executive Summary

The Trust have made a solid start to the performance year and a local model of the Probation Trust Rating System (PTRS) is presented below, indicating level 3 performance for the YTD. The validated national PTRS for Q1 shows that the Trust achieved level 3 performance for this time period. It is worthy of note that the number of metrics has reduced from 42 in 2010/11 to 13 in 2011/12, with a much greater emphasis on quality, outcome and effectiveness measures. Alongside the PTRS are 13 Contract measures, which are more input and process orientated and will also be a focus of this report.

Section 2 identifies five Areas For Improvement (AFI's) in relation to the PTRS and Contract measures, the most notable being: OM20, Order or Licences Successfully completed, which is a so-called 'leading indicator' for reoffending and contributes 12% of the overall PTRS rating; and, OM21 Reduce Reoffending Rate, which is statistically significant at 9.73% higher than predicted and therefore automatically reduces our PTRS rating from level 4 to level 3. Further detail on these measures is provided in the body of the report, including a detailed reoffending analysis in section 3.

An analysis of demand is presented in section 4 and the long term data clearly supports the effectiveness of our demand management strategy. There is however some early evidence of upward trends in four areas: Supervision Requirements, SSO's, ATR's and Pre Release Custody; and, we will continue to monitor these closely.

### PTRS YTD August 2011 (Local 'What If' Analysis)



### Performance highlights for 2011/12 YTD include:

- Region-best performance for Accommodation at Termination (90.83%; regional average 87.35%);
- Region-best performance for Employment at Termination (54.69%; regional average 50.49%);
- 2% reduction in sentence commencements.

**Richard Angrave, Performance & Information Manager**  
**23<sup>rd</sup> September 2011.**

## 2. Summary of Performance – PTRS and Contract Measures

**GREEN** – The following indicators are currently being achieved year to date and have shown exceptionally good performance:

### OM 17 (PTRS) Accommodation at Termination:

Target	Monthly Actual	YTD Actual	Regional YTD	10/11 outturn	09/10 outturn
80%	93.46%	90.83%	87.35%	89.46%	87.99%

Exceptional performance for the YTD with 90.83% of offenders in accommodation at termination, indicating an improvement on the previous two years and above regional average performance. Ensuring that a good quality, i.e. all sections are thoroughly reviewed, and timely final review is completed is inherently linked to the success of this measure.

### INT 09 (PTRS) Employment at Termination:

Target	Monthly Actual	YTD Actual	Regional YTD	10/11 outturn	09/10 outturn
46%	54.74%	54.69%	50.49%	50.74%	46.00%

Excellent performance for the YTD with 54.69% of offenders in employment at termination, indicating an improvement on the previous two years and above regional average performance. Ensuring that a good quality, i.e. all sections are thoroughly reviewed, and timely final review is completed is inherently linked to the success of this measure.

### OM 39 (Contract) Tier 2, 3, 4 and PPO OASys Final Reviews:

Target	Monthly Actual	YTD Actual	Regional YTD	10/11 outturn	09/10 outturn
90%	93.85%	94.96%	90.96%	96.02%	95.29%

Excellent performance for the YTD with 94.96% of final reviews being completed on time. The effective use of localised management information and exception reports has resulted in the continuing achievement of this target.

### OM 27 (Contract) Indeterminate Sentence Prisoners PAROM1 timeliness (Generic Parole Process):

Target	Monthly Actual	YTD Actual	Regional YTD	10/11 outturn	09/10 outturn
80%	100%	95.83%	88.24%*	97.56%	n/a

\* Regional comparison relates to April – July 2011 performance only – August unavailable as at 23<sup>rd</sup> September.

Excellent performance on this measure continues, with 4 out of 4 PAROM1 reports completed on time in August and 23 out of 24 on time for the YTD. This indicates an improvement on the previous year and above regional average performance, with excellent local processes and close liaison with PPCS to identify process errors being key to success; indeed, the only 'failure' during the YTD was a process error (i.e. the PAROM1 was actually completed by the Offender Manager on time) and is currently the subject of a challenge by the Trust.

**RED** – The following indicators are currently not on target:

**OM 20 (PTRS) Orders or Licences Successfully Completed:**

Target	Monthly Actual	YTD Actual	Regional YTD	10/11 outturn	09/10 outturn
74%	76.59%	73.86%	73.03%	73.80%	74.60%

Following the highest monthly completion rate of the year in August at 76.59%, YTD performance has improved to 73.86%, which is just below target but now above the regional average. As one would expect, this coincided with the lowest monthly level of 'revoked – fail to comply' performance during the YTD at 13.89% (YTD figure is 15.88%). This further validates the three pieces of work currently in train to improve performance on this measure:

- File audit of terminated cases, with specific focus on the substance misuse teams (planned for 31/10/11);
- Analysis of unsuccessful terminations at a lower level of segmentation i.e. OMU and Individual level (complete);
- Compliance and/or breach survey to capture the feedback of the 3/10 cases who terminate unsuccessfully (planned for Jan 12).

**OM 21 Reducing Reoffending Rate (reoffending to March 2011; cohort to December 2010):**

All offenders	Caseload size	Number of reoffenders	Actual rate	Predicted rate	% difference	Statistical significance	Number of reoffences per 100 offenders
Derbyshire	10652	914	8.58	7.82	9.73%	Higher than predicted	14.97
Derby	3583	299	8.34	7.95	4.90%	No significant difference	14.76
Derbyshire (LDU)	6952	605	8.70	7.72	12.70%	Higher than predicted	15.28

The above table details the most recently published local adult reoffending statistics (16/08/11) and is segmented by Trust and LDU. At the overall level, our actual rate of reoffending is statistically significant at 9.73% higher than our predicted rate; which is also the case at Derbyshire County LDU level, where the actual rate of reoffending is statistically significant at 12.70% higher than the predicted rate. A more detailed analysis of the reoffending data is included in Section 3 of this report.

**INT 01 (Contract) Sex Offender Programme (CSOGP) completions:**

Target	Monthly Actual	YTD Actual	Regional YTD	10/11 outturn	09/10 outturn
27	2 (0) (100%)	4 (6) (67%)	41 (43) (95%)	27	24

Following two out of five months below target, the YTD performance is 4 completions against a target of 6 (or 67% of YTD target, which is below the regional average of 95%). Experience indicates that it is very early within the performance year to suggest that there is a significant problem; however, there may be a need for further investigation.

**INT 02 (Contract) Domestic Violence Programme (IDAP) completions:**

Target	Monthly Actual	YTD Actual	Regional YTD	10/11 outturn	09/10 outturn
54	0 (4) (0%)	18 (20) (90%)	123 (127) (97%)	84	59

Following two out of five months below target, the YTD performance is 18 completions against a target of 20 (or 90% of YTD target, which is below the regional average of 97%). Experience indicates that it is very early within the performance year to suggest that there is a significant problem; however, there may be a need for further investigation.

**INT 08 (Contract) Sustained Employment:**

Target	Monthly Actual	YTD Actual	Regional YTD	10/11 outturn	09/10 outturn
190	21 (16) (131%)	76 (79) (96%)	509 (438) (116%)	203	205

Both July and August saw a significant uplift in performance (32 and 21 respectively compared to a monthly target of 16), but three months as an 'Amber/Red' measure in Q1 means YTD performance is 96% of target (76 compared to a target of 79), which is below the regional average of 116%. A focus on recording practice from June to August has significantly improved performance on this measure and dedicated admin staff have now been nominated to be responsible for utilising available exception reports, to ensure excellent recording practice is maintained. With confidence in recording practice going forward, we can be more sure when making the link between any potential future shortfall in performance and unemployment in Derbyshire per se.

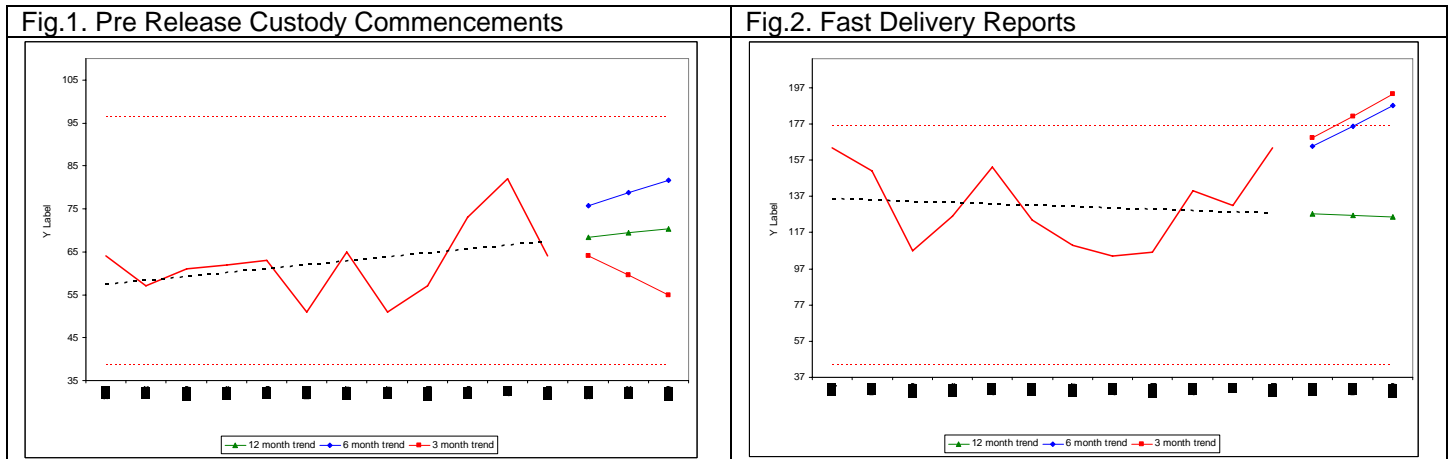
### 3. Reoffending Analysis

Area/LDU Profile	Derbyshire Area	City LDU	County LDU	<b>Key Points:</b>										
Predicted Reoffending rate	7.82	7.95	7.72	<ul style="list-style-type: none"> <li><b>Chesterfield</b> is the only council to have an actual reoffending rate that is lower than predicted – previously Amber Valley, Derbyshire Dales and NE Derbyshire were below predicted;</li> <li><b>City IOM</b> has a higher actual rate of reoffending than predicted, but the low numbers involved (2 cohorts, 131 offenders) must be borne in mind when drawing any conclusions from this data;</li> <li><b>Licence</b> cases have the lowest predicted rate of reoffending of all sub groups profiled, however, the actual rate of reoffending is statistically significantly higher than predicted;</li> <li><b>UPW, ETE and Programme</b> requirements show excellent performance when comparing actual rates to predicted rates, notably when the requirement has been completed.</li> </ul>										
Actual Reoffending rate	8.58	8.34	8.70											
% difference from predicted "baseline"	9.73	4.97	12.73											
<b>Above or below the predicted reoffending rate?</b>	<b>Above</b>	<b>Above</b>	<b>Above</b>											
*Is the difference from the predicted rate statistically significant?*	<b>Yes</b>	No	<b>Yes</b>											
(no. of individuals reoffending)	914	299	605											
(no. of cases in sub group)	10652	3583	6952											
Council Profile	Derbyshire Area	Amber Valley	Bolsover	Chesterfield	Derbyshire Dales	Derby City	Erewash	High Peak	N.E.Derbyshire	S.Derbyshire				
Predicted Reoffending rate	7.82	7.59	7.96	8.64	5.87	7.95	7.54	6.90	7.07	6.80				
Actual Reoffending rate	8.58	7.77	9.09	8.16	6.57	8.17	8.68	8.77	7.62	8.86				
% difference from predicted "baseline"	9.73	2.42	14.18	-5.56	11.83	4.90	15.07	27.06	7.78	30.32				
<b>Above or below the predicted reoffending rate?</b>	<b>Above</b>	<b>Above</b>	<b>Above</b>	<b>Below</b>	<b>Above</b>	<b>Above</b>	<b>Above</b>	<b>Above</b>	<b>Above</b>	<b>Above</b>				
*Is the difference from the predicted rate statistically significant?*	<b>Yes</b>	No	No	No	No	No	No	No	No	No				
(no. of individuals reoffending)	914	85	73	104	22	284	108	59	50	53				
(no. of cases in sub group)	10652	1094	803	1275	335	3478	1244	673	656	598				
Caseload Profile	Derbyshire Area	*City IOM Case load*	Female cases	Male cases	BME cases	White cases	Community Orders	Licences						
Predicted Reoffending rate	7.82	21.91	6.72	7.98	6.47	7.98	8.14	6.30						
Actual Reoffending rate	8.58	28.24	7.25	8.80	7.55	8.73	8.69	7.92						
% difference from predicted "baseline"	9.73	28.94	7.91	10.32	16.69	9.40	6.65	25.73						
<b>Above or below the predicted reoffending rate?</b>	<b>Above</b>	<b>Above</b>	<b>Above</b>	<b>Above</b>	<b>Above</b>	<b>Above</b>	<b>Above</b>	<b>Above</b>						
*Is the difference from the predicted rate statistically significant?*	<b>Yes</b>	No	No	<b>Yes</b>	No	<b>Yes</b>	No	<b>Yes</b>						
(no. of individuals reoffending)	914	37	102	809	91	819	734	171						
(no. of cases in sub group)	10652	131	1406	9193	1206	9377	8450	2158						
<small>*IOM: only 2 caseload samples included, not the 4 for all other sub groups</small>														
Interventions Profile	Derbyshire Area	Unpaid Work				ETE		Programmes						
		CO's with UPW reqts	UPW completions	Standalone UPW reqts	Standalone completions	CO's with ETE reqts	ETE completions	CO's with Prog reqts	Prog completions	Sex Offender completions (INT13)	Domestic Abuse completions (INT14)	General Offender completions (INT15)		
Predicted Reoffending rate	7.82	7.71	6.31	7.22	5.89	11.75	10.29	8.08	6.54	1.79	4.27	8.32		
Actual Reoffending rate	8.58	7.27	4.60	7.09	2.96	13.69	9.61	8.08	4.95	2.11	3.96	5.83		
% difference from predicted "baseline"	9.73	-5.77	-27.06	-1.80	-49.69	16.46	-6.59	-0.09	-24.32	17.37	-7.26	-29.97		
<b>Above or below the predicted reoffending rate?</b>	<b>Above</b>	<b>Below</b>	<b>Below</b>	<b>Below</b>	<b>Below</b>	<b>Above</b>	<b>Below</b>	<b>Below</b>	<b>Below</b>	<b>Above</b>	<b>Below</b>	<b>Below</b>		
*Is the difference from the predicted rate statistically significant?*	<b>Yes</b>	No	<b>Yes</b>	No	<b>Yes</b>	No	No	No	<b>Yes</b>	No	No	<b>Yes</b>		
(no. of individuals reoffending)	914	338	155	94	35	134	64	165	60	2	15	43		
(no. of cases in sub group)	10652	4650	3368	1325	1181	979	666	2043	1212	95	379	738		

**4. Demand Management (Crime & Caseload quarterly; Commencements updated monthly)**

Description		Current YTD	Previous YTD	% Difference
Crime (3 mth. Avg.)	All Crime (Feb – Apr '11; Sep – Nov '10)	5296.0	5639	-6.08%
	Burglary (as above)	647.0	723.3	-10.55%
	Robbery (as above)	62.0	68.7	-9.75%
	Vehicle Crime (as above)	439.3	504	-12.83%
	Violence (as above)	1186.0	1257	-5.65%
	Anti Social Behaviour (as above)	4915.3	5119	-3.98%
Caseload (Qtr. Avg.)	Caseload (offenders) (Q1)	4416	4407	0.20%
	CO Caseload (offenders) (Q1)	1434	1462	-1.92%
	SSO Caseload (offenders) (Q1)	817	759	7.64%
	Community Licenses (offenders) (Q1)	598	556	7.55%
	Custody Licenses (offenders) (Q1)	1733	1665	4.08%
Commencements (Apr – Jul '11)	Supervision Reqt Commencements	799	755	5.83%
	DTTO/DRR Reqt Commencements	74	90	-17.78%
	CP/UPW Reqt Commencements	927	918	0.98%
	Accredited Prog. Reqt Commencements	129	172	-25.00%
	Alcohol Treatment Reqt Commencements	112	91	23.08%
	Curfew Reqt Commencements	398	445	-10.56%
	ETE Reqt Commencements	122	143	-14.69%
	SSO Commencements	456	424	7.55%
	Pre Release Custody Commencements	327	386	-15.28%
	Post Release Custody Commencements	384	391	-1.79%
	Total Commencements (CO, SSO, Pre)	1781	1816	-1.93%
	SDR	682	690	-1.16%
	FDR	646	617	4.70%
	Oral Reports	226	227	-0.44%
	<b>Total Reports</b>	<b>1746</b>	<b>1726</b>	<b>1.16%</b>

Sources: Items 1 – 6, <http://maps.police.uk>; Items 7 – 11, Sentencer Information Report (Performance Hub); Other Items, CRAMS (DPT).



From an overall caseload perspective, it can be seen that we have remained static (slight increase of 0.20%) when compared to the same period last year (Q1); however, the make up of our caseload has changed, with a reduction in community order caseload (-1.92%) and an increase in SSO (7.64%) and custodial caseload (4.95%).

Long term evidence continues to support the effectiveness of our demand management strategy, total commencements reducing by nearly 2% on the previous year. It can however be seen from the above, that there have been some increases in commencements, notably SSO's (+8%), Supervision requirements (+6%) and ATR's (+23%), the latter being expected. Pre release custody commencements (see Fig.1) are beginning to show signs of slight increase; however, last month's peak has returned to a more consistent level and the 3 month trend is downward.

From a reports perspective, there has been a recent 'flattening out' of upward (FDR/Oral) and downward (SDR) trends; albeit, we are now beginning to see the expected increase in FDR's following the implementation of PI 05/2011 (see Fig.2). The proportion of FDR's in all courts is 56.68%, whilst this figure is raised to 66.86% for magistrate's courts (excluding addendum reports). When comparing to the region, only Northamptonshire have a higher proportion of FDR's, 81.40% YTD in all courts.